Title Insurance Investigations
Open Investigations Summary Report

									Open investigations Summary	y Kepo	1 L							
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	2202 redis	Teolies, Marinelles, 316 Marinelles, 316 Marinelles, 10 Marinelles, 316 Marine	Title of the Co.	So, 103, 160, 180, 180, 180, 180, 180, 180, 180, 18	Alicense of Prod. of Society Seed of Prod. S	L'allie soleti	6 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	1X 00/	Paris Andrews	Holled 250	O. O	and the state of t	Sting	AUDIT Alleged Violation
68342	11/21/17		77	Reassigned					X					Χ				No office, non filed products
68724	9/7/17		152	Reassigned				X										Alleged unlicensed activity/RESPA Violation
69721	9/7/17		152											Χ				X Pending Court Case/Character
69848	10/2/17		127														Х	Scheduled Audit
70193	12/8/17		60				Х											Alleged Unlicensed Activity
70196	12/8/17		60				Х											Alleged Unlicensed Activity
70197	12/8/17		60				X											Alleged Unlicensed Activity
70198	12/8/17		60				X											Alleged Unlicensed Activity
70334	1/8/18		29				X											Alleged Unlicensed Activity
70338	1/9/18		28														Χ	Desk Top Audit
70339	1/9/18		28														Χ	Desk Top Audit
70343	1/9/18		28														Χ	Desk Top Audit
70344	1/9/18		28														Χ	Desk Top Audit
70420	1/22/18		15				Χ											Alleged Unlicensed Activity
70493	2/1/18		5											Χ				Missed a lien on property in sale in 2005
70494	2/1/18		5					Х	X									Alleged non-associated and other marketing issues including JAZZ suite
70495	2/1/18		5											Х				Escrow Officer did not order a payoff
70490	2/1/18		5															
70491	2/1/18		5															

Title Insurance Investigations Closed Investigation Summary Report

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I Case # Dat	e Opened	Date Closed	Elapsed Time	Reason Closed	312.2	OZI OZI A	rediles distrets of the streets of t	insurers 31A.23	white of the series	State of Sta	· / ^ ·	Sociol Spain	a 302. 30 Broy desiding Juntain 31 R. 23 a 408; Trust turns 31 R. 23 a 408; Trust turn	Orbit. Oroc.	Jecolds Iredor, 1900, 19	daids diploide	Reservoir Alleged Violation
68091 1	1/16/17	2/16/17	82	Referred to													Complainant told that all liens on property would
				Fraud			 										be paid off
	12/7/16	2/15/17	70	No Violations					X								Alleged unlicensed activity
	12/5/16 12/5/16	2/15/17 2/21/17	72 78	Audit/no issues Audit/no issues	+		 			+					 		Audit Audit
	2/17/17	2/21/17		No Violations					X						 		Alleged unlicensed activity
	12/7/16	2/15/17	70	No Violations					X						 		Alleged unlicensed activity
	12/7/16	2/21/17	76	PriLOA					<u> </u>								Alleged unlicensed activity
	12/7/16	2/15/17	70	No Violations					х								
68243	12/7/16	2/15/17	70	No Violations					Х								
68414	1/12/17	2/14/17	33	No Violations					х								
68423	1/17/16	2/14/17	394	New Employee									x				
				trained													
68237	1/12/17	2/14/17	33	No Violations			 		Х	1					 		
67938 1	0/11/16	3/23/17	163	Audit Completed								Х				х	No Underwriter appointed/Audit
68244	12/7/16	3/14/17	97	E-Case #3871			 	X		+					 		Alleged unlicensed activity
								^							 		
68507	2/1/17	3/1/17	28	Audit completed												Х	Audit
68508	2/1/17	3/7/17	34	Audit Completed												Х	Audit
68509	2/1/17	3/3/17	30	Audit Completed												х	Audit
68512	2/1/17	3/1/17	28	E-Case #3866				Х									Dual License
68513	2/1/17	3/1/17	28	combined with I-				x									Dual License
	2/7/17	3/23/17	44	case 68512 Audit Completed				^								· ·	Audit
																Х	
	2/8/17	3/1/17	21	No Violations								Х					No agency association
	2/8/17	3/16/17	36	E-Case #3872			 					Х			 		Agent no associated to agency
	1/12/17 1/17/17	4/7/17	85	No Violations					, , , , , , , , , , , , , , , , , , ,						 		Geo Survey not shown on title report
	<u>1/17/17</u> 1/17/17	4/5/17 4/5/17	78 78	PriLOA No Violations	+		 		X	+					 		Alleged unlicensed activity Alleged unlicensed activity
	1/17/17 1/17/17	4/5/17	78 78	No Violations					X						 		Alleged unlicensed activity Alleged unlicensed activity
	1/17/17 1/17/17	4/5/17		No Violations					X						 		Alleged unlicensed activity
	2/1/17	4/10/17	68	E-Case #3878												Х	Audit
	2/1/17	4/4/17	62	Audit/no issues												Х	Audit
	3/10/17	4/5/17	26	No Violations					Х								Alleged unlicensed activity
68611	2/21/17	5/2/17	70	Publication removed									х				Alleged advertising with a client
68612	2/21/17	5/1/17	69	Publication removed									х				Alleged advertising with a client
68613	2/21/17	5/1/17	69	Publication									х				Alleged advertising with a client
68614	2/21/17	5/1/17	69	removed Publication	+								x				Alleged advertising with a client
	2/22/17	5/1/17	68	removed Publication	+								X				Alleged advertising with a client
	2/22/17	5/1/17	68	removed Publication									x				Alleged advertising with a client
	2/22/17	5/1/17	68	removed Publication	+								^				Alleged advertising with a client
				removed Publication	+												+
	2/22/17	5/1/17	68	removed information was									X				Alleged advertising with a client
	3/13/17	5/11/17	59	removed information was									x				using an unapproved name
68741 3/	/15/2017	5/11/2017	57	removed									x				using an unapproved name

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Title Insurance Investigations Closed Investigation Summary Report

2000 20/2017 29/2017 30 30 30 30 30 30 30 3								jeis	/ & / &	á		igr /	<u>a</u> (ä0:		/ / / / / / / / / / / / / / / / / / / /	\(\langle \text{St.} \\ \langle \text{St.}	aids /	siing 192.
The content of the						\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	todin of usual side in the	insul ker	writing of licen.	characte.	grise dic	/ 60	Year Jan	untoit we estro us turn	den. die	Jeode and reach righted by the till we dische as the first of different attraction of	Sugar Suga	STA CTOS STE CHIRITIAN
Section Sect	Date Opened			Reason Closed		repts.	dualitie 21, tota . uraute	VO, TUIT	35, red. 101	o' N' NO	12.jic.	00K.502	01. 20g	60 106 igg 100 igg 1/2"	1, Q. 2, A. 2.	1 3 orth S. colle S. kong Statistic Italian S. into. Sinoch Sinoch	/ 6/	Alleged Violation
Street S					27.7	D. N.	Or Kings Kill K	10° × /3°		S. Brillian	30.	330	30 SAN CONTRACT		1,330/	30, 30, 30, 31, 00 (30, 11) (30, 12) (3	S. S. J. A.	o eterio e
Selection Sele					31/8	31 Pi	3h . 12 3h		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	16 3/K	314	3NP"	/ 3 1/3	3/1/3/8	3. 3/k	1 2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	/ REGIV	/ */
Section Sect	68745 3/16/2017	5/11/2017	56										х					supplying items of value at a clients event
ACCOUNTY 15-10007 1 15-10007	68830 3/27/2017	5/19/2017	53	No Violations												X		signing policies without examining
50/2077 51/2	68999 4/20/2017	5/9/2017	19										х					charged less than filed rates
2007	69037 5/1/2017	5/15/2017	14													х		less and excepted part of the legal description
595707 5152077 5152077 52620	69043 5/2/2017	5/9/2017	7	No violations														I
General Section Control Se			3													X		Wrong legal description insured
STREET S			9							-			-					
Second S			3										X	 			X	
1897 1879-017 18			1										Х					
BRADE STEEDUTE CLUSTOT STEEDUTE ST	68572 2/14/2017	6/14/2017	120										X					conducted closing and didn't clear title or issue
B8900 44-2017 69/201																		· · · · · · · · · · · · · · · · · · ·
Respondance Add Ad									X				^			 		<u> </u>
F8903 44/2017 675/2017 76 20 No Volations X No Volations No Volations X No Volations No V									X									· ,
S8950 4/20217 679/2017 76 No Violations X X X X X X X X X			_						Х									· · · · · · · · · · · · · · · · · · ·
Seption Sept									+									S ,
EBBST 4/12/2017 6/14/201									 				X	+ + + -				y ,
R8997 4/20/2017 6/13/2017 6/4 Seption of Services Agency disolved X X X Agency disolved				Filed small										x				
			54											X				Agency didn't forward funds/forms to IRS
September Sept	i		47													x		
S8905 4/3/2017 6/5/2017 6									Х									
Sepon 44/4/2017 6/5/2017									X									
B8342 12/28/2017 62/6/2017 39 opened E-Case									x							 		
69216 6/5/2017 6/5/2017 0 company paid claim			39															Unlicensed activity, no office in utah
6926 6/13/2017 6/14/2017 1 No Violations X X X X X X X X X	###### 6/1/2017	6/1/2017	0						х									Alleged unlicensed activity
69263 6/13/2017 6/14/2017 1 No Violations	69216 6/5/2017	6/5/2017	0															Unfair claims handling
69279 6/15/2017 6/23/2017 8 PriLOA			1						Х									
6928 6/15/2017 6/23/2017 8 No violations			1						Х									
68947 4/10/2017 7/27/2017 108 No Violations No Vio			8 8					1										
69378 07/05/207 7/18/2017 13 No Violations			108															·
69419 7/10/2017 7/19/2017 9 NoViolations			13													x		
69440 7/13/2017 7/25/2017 12 No Violations Escrowed funds not disbursed proper 68947 4/10/2017 7/27/2017 108 No Violations Secrowed funds not disbursed proper 69378 7/5/2017 7/18/2017 13 No Violations No Violations 69418 7/10/2017 7/25/2017 15 No Violations X Secrowed funds not disbursed proper 69419 7/10/2017 7/18/2017 13 No Violations X Secrowed funds not disbursed proper 69418 7/10/2017 7/18/2017 13 No Violations X Secrowed funds not disbursed proper 69419 7/10/2017 7/25/2017 13 No Violations X Secrowed funds not disbursed proper 69419 7/10/2017 7/25/2017 15 No Violations X Secrowed funds not disbursed proper 69419 7/10/2017 7/19/2017 9 No Violations X Secrowed funds not disbursed proper 69419 7/10/2017 7/19/2017 9 No Violations X <td></td> <td></td> <td>15</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td> ^ </td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>			15						 ^ 									
68947 4/10/2017 7/27/2017 108 No Violations 69378 7/5/2017 7/18/2017 13 No Violations 69418 7/10/2017 7/25/2017 15 No Violations 69419 7/10/2017 7/19/2017 9 No Violations Alleged unlicensed activity Alleged unlicensed activity			9						X									
69378 7/5/2017 7/18/2017 13 No Violations Discrepencies in legal 69418 7/10/2017 7/25/2017 15 No Violations X Alleged unlicensed activity 69419 7/10/2017 7/19/2017 9 No Violations X Alleged unlicensed activity			ı∠ 108						+ +		+			X				Escrowed runds not dispursed properly
69418 7/10/2017 7/25/2017 15 No Violations X Alleged unlicensed activity 69419 7/10/2017 7/19/2017 9 No Violations X S Alleged unlicensed activity																<u> </u>		Discrepencies in legal
	69418 7/10/2017	7/25/2017	15						Х									Alleged unlicensed activity
			9						X									
69406 7/8/17 8/16/17 39 No Violations Escrow funds not disbursed properly 69470 7/19/17 8/16/17 28 No Violations Escrow funds not disbursed properly							 		+ +			,		 		 		
69293 6/19/17 9/6/17 79 PriLOA x Desktop Audit									† †	1		^		1 1			х	
69294 6/19/17 9/6/17 79 No Violations Desktop Audit	69294 6/19/17	9/6/17	79	No Violations														Desktop Audit
69688 8/30/17 9/6/17 7 In litigation Title Co won't provide earnest \$ to seller	69688 8/30/17	9/6/17	7	In litigation					 									Title Co won't provide earnest \$ to seller
69269 6/14/17 9/12/17 90 Audit Closed Cosponsoring an event of a client/providing	69269 6/14/17	9/12/17	90	Audit Closed														Cosponsoring an event of a client/providing gifts
69739 9/11/2017 9/19/2017 8 No Violations Alleged recording error	69739 9/11/2017	7 9/19/2017	8	No Violations														Alleged recording error
69740 9/11/2017 9/19/2017 8 Satisfied Missed lien in closing	69740 9/11/2017	7 9/19/2017	8	Satisfied														Missed lien in closing
69295 6/19/17 10/2/17 105 No Issue Audit x Desktop Audit	69295 6/19/17	10/2/17	105	No Issue Audit													Х	Desktop Audit
69387 7/5/17 10/2/17 89 No Issue Audit x Desktop Audit	69387 7/5/17	10/2/17	89	No Issue Audit													х	Desktop Audit

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Title Insurance Investigations Closed Investigation Summary Report

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I Case #	Date Opened	Date Closed	Elapsed Time		31 R. 2.202. TOOKS.	a rediles	REUTO'S AND STATE OF	July 31 A.S.	Delles 31 A. 230 103 Le	d. dicerse	dratactet license lic. pobation 312, inc. pobation 312, 230, 20th,	A Control of the Cont	The Heart of the Control of the Cont	Bar No. it	R. Sar A. S.	31 P. 230 A	, July	Led Dus.	or de la	e Oracines Pagareinos	Solo Singer Production	ation Apply 350		chos cyds. Alleged Violation
69719	9/7/17	10/2/17	25	Educated Marketer												X								Alleged unfair inducement
69720	9/7/17	10/2/17	25	Branch Address incorrect										Х										Failure to report branch offices
69623	8/16/17	10/11/17	56	Client made whole																				Alleged Agency holding Escrow Funds/bounced check
69388	7/5/17	10/19/17	106	E-Case 3946				х																Alleged unlicensed activity
69786	9/20/17	10/25/17	35	No Business Conducted					Х															Alleged unlicensed activity
69787	9/20/17	10/25/17	35	No Business Conducted					X															Alleged unlicensed activity
69788	9/20/17	10/25/17	35	No Business Conducted					X															Alleged unlicensed activity
69789	9/20/17	10/25/17	35	No Business Conducted					X															Alleged unlicensed activity
69654	8/22/17	11/2/17	72	Issue Resolved																				Alleged Co-Habitation
69862	10/3/17	11/17/17	45	No Violation							Х													Failed to release Escrow Funds
69849	10/2/17	11/27/17	56	Audit Completed																			Х	Scheduled Audit
69850	10/2/17	11/27/17	56	Audit Completed																			Х	Scheduled Audit
70101	11/17/17	12/6/17	19	No Business				Х																Alleged Unlicensed Activity
69689	8/30/17	12/7/17	33	Insuff. Info												х								Unfair inducement, providing software
69822	9/25/17	12/7/17	73	Inactivating RE License	Х																			Alleged Dual License Violation
69861	10/3/17	12/7/17	65	Corrected Issue												X								Alleged advertising with a client
69905	10/11/17	12/7/17	57	No Business				Х																Alleged Unlicensed Activity
69906	10/11/17	12/7/17	57	No Business				Х																Alleged Unlicensed Activity
69907	10/11/17	12/7/17	57	No Business				Х																Alleged Unlicensed Activity
69908	10/11/17	12/7/17	57	No Business				Х																Alleged Unlicensed Activity
69904	10/11/17	12/12/17	62	PriLOA				Х																Alleged Unlicensed Activity
69956	10/19/17	12/12/17	54	PubLOA					х															Alleged failure to update producer associations
70102	11/17/17	12/18/17	31	No Business				Х																Alleged Unlicensed Activity
69909	10/11/17	1/3/18	84	No Business				Х																Alleged Unlicensed Activity
70310	1/3/18	1/23/18	20	Unfounded												х х								Fraudulant issues with title/charge to buyer
70241	12/18/17	1/24/18	37	Corrected DBA							X					X								Name and Advertising violations
70194	12/8/17	1/29/18	52	E-Case 3960				Х																Alleged Unlicensed Activity
70195	12/8/17	2/1/18	55	Surrendering Title License				Х																Alleged Unlicensed Activity
						•	-	•		•		•	•	-	-		-	-			•	-		

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Title Insurance Investigations Open/Closed

		Closed		Opened	Closed			Closed
January	6	4	January	6	19	January	12	5
February	5	5	February	2	6	February	17	11
March	14	13	March	11	7	March	7	10
April	22	26	April	11	5	April	12	7
May	1	11	May	3	4	May	10	19
June	2	11	June	21		June	15	20
July	0	4	July	11	18	July	13	9
August	8	6	August	21	25	August	3	
September	11	12	September	6	4	September	14	8
October	12	13	October	18	4	October	12	
November	5	10	November	22	16	November	3	3
December	9	10	December	6	10	December	8	11
Totals for 2011	95	125	Totals for 2014	138	124	Totals for 2017	126	117
January	15	9	January	1	17	January	6	4
February	15	13	February	10	15	February		
March	12		March	1	1	March		
April	8	3	April	9		April		
May	20		May	8	8	May		
June	13	19	June	11	11	June		
July	17	17	July	1	0	July		
August	17	10	August	13	14	August		
September	3	11	September	5	3	September		
October	5	17	October	8	8	October		
November	20	12	November	9	6	November		
December	13	13	December	10	8	December		
Totals for 2012	158		Totals for 2015	86	101			-
January	2		January	6				
February	15		February	8				
March	16	19	March	3	13			
April	5	7	April	6	7			
May	5	9	May	12	15			
June	13		June	1	6			
July	8	15	July	10	0			
August	3	4	August	3				
September	10		September	5				
October	11	9	October	4				
November	20	11	November	7	9			
December	14	9	December	13				
Totals for 2013	122		Totals for 2016	78		1		

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Title Insurance Consumer

Open and Closed Per Month Report

			CPOIT .	1 6	01 1
	Open	Closed		Open	Closed
January — :	2		January	0	0
February	1		February	0	0
March	1		March	0	0
April	0		April	0	0
May	0		May	1	0
June	0		June	2	1
July	0		July	1	1
August	0		August	0	0
September	0		September	0	0
October	0		October	0	1
November	0		November	2	2
December	0		December	2	3
Total 2011	4	3	Total 2015	8	8
January	0		January	0	0
February	0	0	February	1	0
March	0	0	March	2	0
April	1	0	April	1	2
May	0		May	0	0
June	0		June	2	1
July	0		July	0	0
August	0		August	1	1
September	0		September	0	0
October	0		October	0	0
November	0		November	0	0
December	0		December	0	0
Total 2012	1		Total 2016	7	4
January	0		January	0	0
February	0		February	0	0
March	0		March	0	0
April	0		April	0	0
May	1		May	0	0
June	0		June	0	0
July	0		July	0	0
August	0		August	1	0
September	0		September	0	1
October	0		October	1	0
November	0		November	0	0
December	0		December	0	
Total 2013	1		Total 2017	2	0
	0	1	10tai 2017		
January February	0	5			
March	1	0	t e		
April	1	2			
			ł		
May	0	<u>0</u> 1	e e e e e e e e e e e e e e e e e e e		
June	0		ł		
July	0	0	a de la companya de		
August	0	1	ł		
September	0	0	ł de la		
October	1	0			
November	0	1			
December	0	0	ł		
Total 2014	3	11			

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Title Insurance Consumer Complaints Open and Closed Consumer Complaint Summary Report

Case #	Date Open	Date closed	Complaint	CSA	Results
58109	1/25/2010	2/25/2010	HO premium not paid at closing	Meldee	JUSTIFIED
			title complaint, feels title company didn't uncover/disclose hazards for clear title and sale of home - flood insurance		
58202	2/22/2010	2/22/2010	requirements	Sandy	UNJUSTIFIED
58434	5/19/2010	8/19/2010	Condo Ins not pd at closing	Meldee	UNJUSTIFIED
58624	8/10/2010	8/10/2010	Mechanicsliens recordered on property after closing	Sandy	Withdrawn
58832	11/8/2010	11/16/2010	Title agency did not the seller's delinquent dues paid	Meldee	UNJUSTIFIED
58852	11/15/2010	2/9/2011	Lender complaint. Trust deed not recorded	Meldee	UNJUSTIFIED
58865	11/18/2010	12/9/2010	not supplying title ins or deed to the property	Sandy	UNJUSTIFIED
59045	1/3/2011	1/10/2011	_	Sandy	UNJUSTIFIED
59067	1/6/2011	2/9/2011	Trust Deed not recorded	Meldee	UNJUSTIFIED
59162	2/1/2011	2/1/2011	Property not recorded properly by agent	Meldee	JUSTIFIED
59272	3/7/2011	4/26/2011	title complaint	Meldee	UNJUSTIFIED
60778	4/20/2012	6/12/2012		Meldee	UNJUSTIFIED
			title co. issued deed conveying property which had leaglly		
62236	5/1/2013	7/25/2013	passed to complainant without notice.	Tammy	Info Not Rec'd
					No Jurisdiction on
					Title Co, Insurer
63398	3/7/2014	4/30/2014	Liens not cleared	Tammy	position upheld
63660	4/29/14	4/30/14	Failure to release Funds in Escrow	Tammy	UNJUSTIFIED
63833	6/9/2014	8/7/2014	Alleged overchging of fees	Tammy	UNJUSTIFIED
					Consumer received
64471	10/9/2014	11/5/2014	Did not receive copies of closing docs.	Tammy	copies
			Alleged abusive tactics by title agency owner, fiduciary		
65362	5/5/2015	6/10/2015	issues	Tammy	UNJUSTIFIED
			Alleged Fiduciary Violation by releaseing funds held in		Insufficient Info, lack
65518	6/11/2015	6/17/2015	escrow without authorization	Tammy	of jurisdiction
65595	6/30/2015	7/1/2015	Claim made on damage to home \$7000, actual repair \$150, Deductible \$550	Tammy	No Jurisdiction
			Alleged falsification in the recordation of documents with		Insufficient
65707	7/28/2015	10/20/2015	and for the Millard County recorder's office and its employees.	Tommy	information
66220	11/23/2015		Unclear title issues	Tammy Tammy	UNJUSTIFIED
66324	12/11/2015		Commission questions		Question of Fact
66758	2/19/2016		Right of Way issues	Tammy	Company upheld
			Cloud on Title	Tammy	
66968	3/22/2016			Tammy	No Violation
67090	4/15/2016		Alleged Fraud/Forgery	Tammy	No Jurisdiction
67343	6/15/2016		Possible Recording Error	Tammy	Insufficient Info
67390	6/24/2016		Fraud by borrower in a real estate transaction	Tammy	No Jurisdiction
67671	8/23/2016		Balance of funds taken without authority	Adam	Settled in court
69704	9/3/2017	9/3/2017	Failure to record	Adam	Opened I-case 69739
70001	10/31/2017		Failed to cure Lis Pendens on property	Adam	

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Title Insurance Enforcement Report

E Coco #	Date Opened	Recommended Action	Repeat Offense	Prior Offense		Action Date	Closed Date
E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Notice sent	4/26/2011	
2853	4/20/11	Informal Action			Request for Pmt	10/4/2011	
2000	4/20/11	IIIIOIIIIai Action			Invoice Pd	10/20/2011	10/20/2011
					Notice Sent	4/26/2011	
2854	4/20/11	Informal Action			THOUSE SEIN	4/20/2011	
2034	4/20/11	IIIIOIIIIai Action			\$750 Pd		5/25/2011
					Notice sent	4/26/2011	
2855	4/20/11	Dismissal			At AGs for Dismissal	11/15/2011	
2000	4/20/11	Distriissai			Order to Dismiss drafted	1/10/2012	
					Notice sent	4/26/2011	
2856	4/20/11	Informal Action			THOUSE SEIN	1/20/2011	
2000	1,20,11	inionnai 7 totion			\$750 Pd		5/25/2011
					Notice sent	4/20/2011	
2857	4/20/11	Informal Action			Dismissed	5/26/2011	
2001	1,20,11	inionnai 7 totion					5/26/2011
					Notice sent	4/26/2011	
2858	4/20/11	Surrender in Lieu			Hearing set 6/14/2011	5/24/2011	
2000	., 20, 11	Carronaer in Lica			Signed Surr in Lieu	1/24/2012	
					Notice sent	4/26/2011	
2859	4/20/11	Informal Action			Company out of business 8/23/2010	1,20,2011	
2000	., 20, 11	in on idea / totion			Out of Business	11/3/2011	11/3/2011
					To Gale	5/2/2011	
2874	5/2/11	Order to Show Cause			S&O drafted	6/1/2011	
	3,2,	Gradi to Grioti Gadoo			Signed Surrender in Lieu Received	5/29/2012	
					To Gale	5/2/2011	
2875	5/2/11	Stip & Order			Invoice for \$500 pd	9/19/2011	
2070	3,2,	\$1,500			Last Pmt received \$500	12/19/2011	
		ψ1,000			To Gale for C&D and Subpoena	9/21/2011	
3010	9/21/11	Cease and Desist			Subpeona mailed	3/15/2012	
0010	0/21/11	Codoc and Dociot			Closed/Opened erroniously	8/1/2012	
					To Gale	9/26/2011	
3015	9/26/11	Complaint			S&O sent	3/26/2013	
0010	3/20/11	\$100,000 & \$3750			Forfeiture Pd	4/8/2013	
		φτου,σου α φοτου			To Gale	10/24/2011	
3024	10/21/11	Revocation			Pre-hearing scheduled	3/14/2012	
	10/2 !/ 1 !	rtovodalon			License Revoked	4/9/2012	
					To Gale	10/18/2011	
3030	10/18/11	Stip & Order			S&O Drafted & Mailed	12/27/2011	
		\$5,000			Invoice \$5000	1/10/2012	
		φσ,σσσ			To Gale	11/15/2011	
3057	10/18/11	Stip & Order			Pleading sent	1/13/2012	
		\$1,500			Invoice for \$1500 pd	1/23/2012	
		7 /			Informal for \$500/2 yr probation	1/24/2012	
3074	12/19/11	Informal Action			Resent notice	2/24/2012	
		\$500			Pmt made	6/12/2012	
		¥			To Gale	3/13/2012	
3101	2/6/12	Revocation					
					Approved T&E	5/14/2012	
					To Gale	3/13/2012	
3113	3/12/12	NON-Renew					
					Closed	9/14/2012	
	2				To Gale	3/27/2012	
3115	3/23/12	Stip and Order			Req Order to Show Cause	6/7/2012	
		\$5,000			Forfeiture Pd	2/13/2013	2/13/2013

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
	•				To Gale	4/2/2012	
3116	4/2/12	Stip & Order			Mailed S&O	5/23/2012	
		\$15,000			Signed and Pd	7/9/2012	7/9/2012
					To Gale	4/9/2012	
3119	4/9/12	Stip & Order			Received signed S&O	5/21/2012	
		\$1,500			Forfeiture pd	7/2/2012	7/2/2012
					Draft Pleading to AG	4/26/2012	
3128	4/26/12	Informal Order					
		\$1,500			Pmt Rec'd \$1500	5/21/2012	5/21/2012
					Draft Pleading to AG	4/26/2012	
3129	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
		\$1,500			Forfeiture Paid	6/18/2012	6/18/2012
					Draft Pleading to AG	4/26/2012	
3130	4/26/12	Order To Dismiss			Order/Inv sent	5/7/2012	
					New Order sent to new address	11/1/2012	11/1/2012
					Draft Pleading to AG	4/26/2012	
3131	4/26/12	Dismiss OTSC			Hearing set 7/09/2012	6/10/2012	
					Sent Dismissal Order	10/29/2012	10/29/2012
					Draft Pleading to AG	4/26/2012	
3132	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
		\$1,500			Forfeiture Paid	6/11/2012	6/11/2012
		,			Draft Pleading to AG	4/26/2012	
3133	4/26/12	Informal Order					
		\$1,500			Pmt Rec'd \$1500	5/7/2012	5/7/2012
		¥ 1,000			Draft Pleading to AG	4/26/2012	
3134	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
	1/20/12	\$1,500			Motion to Dismiss	7/9/2012	7/9/2012
		Ψ1,000			Draft Pleading to AG	4/26/2012	
3135	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
0100	4/20/12	\$1,500			Pymt Rec'd \$1500	5/30/2012	5/30/2012
		Ψ1,000			Stip & O sent	5/30/2012	
3143	5/8/12	Stip & Order			Stip & O rec'd	6/11/2012	
0110	0/0/12	\$1,500			Forfeiture Pd	7/16/2012	
		Ψ1,000			To Gale	6/18/2012	
3179	6/18/12	Stip & Order			Rec'd signed S&O	7/18/2012	
	0/10/12	\$1,500			Forf. Pd	8/13/2012	8/13/2012
		Ψ1,000			S&O sent for signature	7/10/2012	
3180	6/18/12	Stip & Order			Called on S&O	8/2/2012	
	0, 10, 12	\$3,000			Forf. Pd	9/11/2012	9/11/2012
		φοίοσο			To Gale	7/18/2012	
3193	7/18/12	Stip & Order			Sched. for Title Meeting	9/10/2012	
	1,10,12	\$1,500			Forf. Paid	10/16/2012	10/16/2012
		4 1,000			To Gale	7/30/2012	
3195	7/30/12	Stip & Order			Invoice sent	9/10/2012	
		\$1,500			Forfeiture Pd	10/16/2012	
		ψ1,000			Draft Pleading to AG	8/22/2012	
3213	8/22/12	Order to Dismiss			Order/Inv sent	8/27/2012	
		2.22. 10 2.311100			Sent Order to Dismiss	10/18/2012	10/18/2012
					Complaint mailed	10/29/2012	
3216	9/11/12	Revocation			Pre-hearing held	12/18/2012	
		Request			License Revoked	12/31/2012	12/31/2012
		1			Drafting Order	9/20/2012	
3219	9/20/12	OTSC Hearing			Non-Appearance for Hearing	6/11/2013	
		\$3,750			License Revoked	7/8/2013	7/16/2013
					Drafting Order	9/20/2012	
3220	9/20/12	Informal Order			Informal Order mailed	2/19/2013	
		\$1,750			Fofeiture Pd	3/15/2013	3/15/2013

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
2222	40/0/40	Chin 9 Ordan			Doold signed CSO	10/20/2012	
3223	10/2/12	Stip & Order			Rec'd signed S&O	10/30/2012	
		\$1,500			Forfeiture \$1500 Pd Gave Report to Gale	11/27/2012 11/13/2012	
3237	11/13/12	Stip & Order			Received signed S&O	3/21/2013	
3231	11/13/12	·			Forfeiture Pd	4/19/2013	
		\$2,500			Gave Report to Gale	11/26/2012	
3241	11/26/12	Stip & Order			Request for Payment	3/18/2013	
3241	11/20/12	\$1,500			Pd \$1500	3/20/2013	
		φ1,500			Gave Report to Gale	11/26/2012	
3242	11/26/12	Stip & Order			S&O sent	2/21/2013	
3242	11/20/12	Stip & Order			License Revoked	4/10/2013	
		Revocation			Gave Report to Gale	1/8/2013	
2257	4/0/40	Chin and Order			Invoice Sent	3/14/2013	
3257	1/8/13	Stip and Order					
		\$1,500			Case Closed Forfeiture Pd	4/22/2013	
0007	0/5/40	0 10.1			S&O sent	3/19/2013	
3267	3/5/13	Stip and Order			Received S&O	3/25/2013	
		\$4,500			Invoice Paid	5/2/2013	5/7/2013
0000	0/5/40	0 10.1			000 11	1/0/0010	
3268	3/5/13	Stip and Order			S&O and Invoice sent	4/8/2013	
		\$1,000			Pd \$1000	4/25/2013	
	- /- / -				S&O and Invoice sent	4/8/2013	
3270	3/8/13	Stip and Order			Scheduled mo. payment \$500 rec'd	5/15/2013	
		\$1,500			Forfeiture PD \$1000	6/27/2013	
					Sent Stip & Order	5/7/2013	
3291	4/15/13	Stip and Order			Rec'd Stip & Order	5/20/2013	
		\$1,000			Forfieture Pd \$1000	8/6/2013	
					Sent Stip & Order	5/7/2013	
3292	4/15/13	Stip and Order			Rec'd Stip & Order	5/20/2013	
		\$500			Final Pmt made	10/7/2013	
					Stip & Order Sent	5/20/2013	
3315	5/7/13	Stip and Order			Signed Stip & Order sent	6/10/2013	
		\$1,500			Forfieture PD \$1500	7/22/2013	
					Stip & Order Sent	5/20/2013	
3316	5/7/13	Stip and Order			Signed S&O and Invoice sent	6/4/2013	
		\$1,500			Forfieture PD \$1500	7/22/2013	
					Stip & Order Sent	6/11/2013	
3322	5/20/13	Stip and Order			Signed S&O and Invoice sent	6/24/2013	
		\$1,500			Forfieture PD \$1500	7/25/2013	7/25/2013
					Stip & Order Sent	6/11/2013	
3323	5/20/13	Stip and Order			Signed S&O and Invoice sent	6/24/2013	
		\$2,500			Forfieture PD \$2500	7/25/2013	7/25/2013
					Hearing set 12/9/13	10/7/2013	
3354	7/30/13	Complaint			S&O were not agreed to by T&E	12/9/2013	
		License Surrendered			License Surrendered	2/28/2014	5/30/2014
					Informal sent	10/25/2013	
3356	8/6/13	Informal Order			Invoice pmt req	1/9/2014	
		Surrender in Lieu			Surrender in Lieu	9/5/2014	9/5/2014
					to AAG	10/18/2013	
3365	8/22/13	Complaint			Complaint/hearing set for 1/21/2014	12/10/2013	
		Revocation			Default Revocation	3/7/2014	3/7/2014
					Accepted offer of S&O	10/2/2013	
3366	8/22/13	Stip and Order			Received signed S&O	1/13/2014	
		Revocation			Completed S&O Mailed	2/10/2014	

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense			Closed Date
					To AAG	10/17/2013	
3367	8/26/13	Complaint			Case Closed Renewal EIN fixed	1/7/2014	
		No Action				1/7/2014	
					Offer lettter sent	9/5/2013	
3375	9/5/13	Stipulation & Order			S&O being redrafted by AAG	2/27/2014	
		\$73,000			Fine Rec'd	5/30/3014	
					Response to offer Rec'd	11/20/2013	
3376	9/5/13	Stpulation and Order			To AAG	7/3/2014	
		\$225,500			Forfeiture PD \$225,500	9/29/2014	
					To AAG	10/31/2013	
3395	10/30/13	Stip & Order			S&O sent	11/25/2013	
		\$10,500			Forfieture Pd	3/5/2014	3/5/2014
					To AAG	11/12/2013	
3404	11/12/13	Stip & Order			S&O sent	11/25/2013	
		\$1,500			For. Pd	2/11/2014	
					To AAG	11/14/2013	
3405	11/13/13	Stip & Order			S&O with Invoice sent	1/13/2014	
		\$1,500			Forfeiture PD \$1000	1/27/2014	
0.400	4440440				To AAG	11/14/2013	
3406	11/19/13	Stip & Order			S&O sent	12/13/2013	
		\$1,000			Fine Pd	12/20/2013	
3407	11/19/13	Informal Order			To AAG Informal order sent	11/14/2013 11/26/2013	
3407	11/19/13	\$1,000			Fine Pd	12/12/2013	
		φ1,000			To AAG	11/14/2013	
3408	11/19/13	Informal Order			Informal order sent	11/26/2013	
0.00	11/10/10	\$6,500			License surrendered	12/31/2013	
		φοίοσο			To AAG	11/14/2013	
3409	11/19/13	Informal Order			Informal order sent	11/26/2013	
		\$1,000			Fine Pd	12/12/2013	12/12/2013
					To AAG	11/14/2013	
3410	11/19/13	Informal Order			Informal order sent	11/26/2013	
		\$1,000			Fine Pd	12/13/2013	
					To AAG	11/14/2013	
3411	11/19/13	Informal Order			Informal order sent	11/26/2013	
		\$1,000			Fine Pd	12/17/2013	
0.400	40/00/40	00 0 0 1 0			To AAG	12/31/2013	
3430	12/30/13	Stip & Order \$1,500			S&O sent Fine Paid	2/26/2014 4/22/2014	
		φ1,300					
3431	12/30/13	Ctip 9 Order			To AAG	12/31/2013	
3431	12/30/13	Stip & Order			S&O sent Forfeiture PD \$1500	2/27/2014 5/8/2014	
		\$1,500					
2445	4/00/44	المحمدة المسادد			To AAG	1/30/2014	
3445	1/29/14	Informal Order			S&O sent w/invoice	4/14/2014	
		\$250			Fine Paid	4/22/2014	
0.450	0/04/44	00.00			To AAG	2/24/2014	
3453	2/24/14	Stip & Order			Forfoiture DD \$4500	E/A 4/004 4	E/4.4/004.4
		\$1,500			Forfeiture PD \$1500	5/14/2014	5/14/2014
0.45.	0/04/4	00.00			To AAG	2/24/2014	
3454	2/24/14	Stip & Order			Stip & Order sent w/invoice	4/14/2014	
		\$3,000			Forfeiture PD \$3000	5/14/2014	
2455	0/05/4.4	Ctim 0 Ouden			To AAG Before T&E 6/9/2014	2/25/2014	
3455	2/25/14	Stip & Order \$500			Forfeiture Pd	6/9/2014 7/1/2014	
		φυυυ					
2450	2/44/44	Ctio O Order			To AAG	3/17/2014	
3458	3/11/14	Stip & Order			Request for Pmt sent	7/22/2014	
		\$1,500			Forfieture PD \$1500	7/30/2014	7/30/14

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
					To AAG	3/25/2014	
3465	3/24/14	Stip & Order			S&O sent with Invoice	6/13/2014	
		\$1,500			Forfeiture Pd	6/20/2014	6/20/2014
				Actions 2002,	To AG for drafting	1/30/2015	
3501	5/28/14	Stip & Order	Yes, 2002	and 2012	Stip and O and Invoice mailed	4/13/2015	
		\$22,750			Forfieture Paid	4/23/2015	4/23/2015
					Report to AG's	7/1/2014	
3511	7/1/14	Stip & Order			Stip & O Mailed	9/8/2014	
		\$5,000			Forfeiture PD \$5000	9/19/2014	9/19/2014
					To AG's for drafting	7/1/2014	
3512	7/1/14	Stip & Order			Stip & O and Inv. Mailed	10/20/2014	
		\$1,500			Forfeiture PD	10/30/2014	
					\$1500 Forfeiture PD	11/3/2014	
3526	8/28/14	Stip & Order			\$3000 Forfeiture PD	11/24/2014	
		\$9,000			Closed Forfeiture PD	3/2/2015	3/2/2015
		Informal Order			Drafted Pleading	8/28/2014	
3527	8/28/14	\$1,000			Mailed IO	8/29/2014	
					Forfeiture Pd	9/12/2014	9/12/2014
		Informal Order			Drafted Pleading	8/29/2014	
3528	8/28/14	\$3,000			Mailed IO	8/28/2014	
					Forfeiture PD	10/28/2014	10/28/2014
					Informal Sent/Returned	9/5/2014	
		2 counts Unlawful					
		Dealing of Property by					
3533	9/5/14	Fiduciary ((F2)(felony2)					
0000	0/0/14	and F3), 1 count					
		Communications Fraud			l., ., ., ., .		
		(F2); warrant issued			Informal Sent/Returned	9/11/2014	
					Warrant lissued	2/25/2015	
0504	0/0/44	000 0000			To AG's for drafting	9/9/2014	
3534	9/9/14	Stip & Order			Stip & Order/Inv. Mailed	10/20/2014	
		\$1,500			Forfeiture Pd	12/2/2014	
2525	0/0/44	Otio 8 Ouden			To AG's for drafting	9/9/2014	
3535	9/9/14	Stip & Order			Stip & Order/Inv. Mailed	10/20/2014	
		\$1,500			Forefeiture Pd	11/18/2014	
3547	40/00/44	Ctio 8 Order			To AG's for drafting Invoice Sent	10/28/2014 4/13/2015	
3347	10/28/14	Stip & Order \$32,500			Forfeiture Pd	4/13/2015	
		φ32,300		Yes	To AG's for drafting	1/20/2015	
					TO AGS for draiting	1/20/2013	
3575	1/20/15	Stip & Order	Yes, 2012	Multiple cases	L . TOF	0/0/0045	
		40.000		since 2001	Before T&E	2/9/2015	
		\$3,000		local academic of the	Forfeiture Paid	3/9/2015	
0570	1/04/45	Deniel of License	NIA	Judgements	To AG's for hearing	1/22/2015	
3576	1/21/15	Denial of License	NA	against Primary	AG said license should be issued. Case closed	2/5/2015 2/5/2015	
				Primary			
3578	1/23/15	Informal Order	No		Informal sent	1/27/2015	
3370	1/23/13	\$1,000	INU		\$1000 Forfeiture PD	2/3/2015	2/3/2015
		φ1,000			Sent to AG for drafting	1/29/2015	
3580	1/29/15	Stip and Order	No		Approved T&E	1/23/2013	
3300	1/25/15	\$1,500	140		Pmts received	4/3/2015	4/3/2015
		ψ1,000			Sent to AG for drafting	2/17/2015	
3588	2/17/15	Stip and Order	No		Mailed Signed S&O	3/19/2015	
		\$1,500	140		Forfeiture PD	4/1/2015	
		ψ1,000			Sent to AG for drafting	2/17/2015	
3589	2/17/15	Stip and Order	No		S&O mailed with Invoice	3/19/2015	
0000	_, .,, 10	\$750			Forfeiture PD	4/1/2015	
		Ψίου		2003. 2005	Informal Sent/Invoiced	3/6/2015	
3597	3/5/15	Surrender in Lieu	Yes 2008	2003, 2003	inionnal ochonivoloca	3/0/2013	
	3,3,10	San Shaor in Llou	. 30 2000	2000, 2012	Surrender in Lieu Received	6/15/2015	6/30/2015
	1	1	1	1		5. 15,2010	2. 30, 2010

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
					Informal Sent/Invoiced	3/6/2015	
3598	3/5/15	Informal Order	No		F (); PD	4/40/0045	4/40/0045
		\$500			Forfeiture PD	4/16/2015	
3604	3/30/15	Stip and Order	No		To AG's for drafting Signed for Title and Escrow Mtg	3/31/2015 4/15/2015	
	3/30/13	\$2,500	NO		Forfeiture PD	6/2/2015	
		\$1,000			T GHERRATE T B	0/2/2010	0/2/2010
3624	6/9/15	Public Letter of	No		Informal Action mailed	6/11/2015	
		Admonition			Forfeiture PD	6/24/2015	
					To AG's for drafting	6/11/2015	
3625	6/9/15	Stip and Order	No		Recieved signed S&O	9/1/2015	
		\$7,500			Final Payment made	11/30/2015	
		\$1,500		2002, 2010	To AG's for drafting	8/4/2015	
3647	8/4/15	Stip and Order	No		Recieved signed S&O	8/25/2015	
		C4 FOO			Forfeiture PD	9/21/2015	
3648	8/4/15	\$1,500 Stip and Order	No		To AG's for drafting Recieved signed S&O	8/4/2015 8/18/2015	
3040	0/4/13		INO		Forfeiture PD	10/6/2015	
3730	2/19/16	Default Order	NO		Default Order T&E Committee	4/21/2016	
0.00	2, 10, 10	Dorault Gradi			Default Revocation mailed	.,,	5/17/2016
		\$6,500			Informal mailed	2/25/2016	
3731	2/22/16	Informal Order			Pd Invoice	3/7/2016	
							3/7/2016
		\$3,000 Stip and Order	yes		Stip and Order sent to AG	3/14/2016	
3742	3/14/16				Concurred by T&E/Stip&Order sent	4/11/2016	
					Pd Forfeiture	5/16/2016	5/26/2016
	3/21/16	\$1,500 Informal Order	yes		To ALJ	- / - / - / / / / / / / / / / / -	
3753				2014	Informal sent with invoice	3/28/2016	
					Payment Rec'd Offer Letter sent	4/26/2016 7/20/2016	
3774	5/9/16	\$500	No		Stip & O to AG	8/12/2016	
3774					Pd Forfeiture	9/27/2016	
		\$1000 + \$375			Informal Mailed	5/13/2016	
3776	5/13/16	Informal Order	No		mormar Manea	0,10,2010	
					Closed Forfeiture PD	6/27/2016	6/30/2016
	5/13/16			2014	Informal Mailed	5/13/2016	
3777			Yes		Paid	5/24/2016	
		24 Mos Probation					5/24/2016
	5/13/16	\$2750 & \$375 Informal Order	Yes	2013, 2014	Informal Mailed	5/13/2016	
3778					Surrender in Lieu requested	5/23/2016	6/6/2016
		24 Mo Probation \$1,000			Surrender in Lieu signed Informal Mailed	5/23/2016	
3779	5/13/16	Informal Order	No		Withdrew action	6/20/2016	
3773	3/13/10		INO		Withdrew action	0/20/2010	6/20/2016
		\$1,000			Informal Mailed	5/23/2016	
3780	5/13/16	Informal Order	No			5. = 5. = 5 1 0	
				<u> </u>	Closed Forfeiture PD	6/28/2016	6/30/2016
	6/14/16	\$1,000 Informal Order	No		Informal Mailed	6/15/2016	
3788							
		A					8/2/2016
0000	8/31/16	\$20,000/24 Mo Probation	No		Sent to AGs for Complaint	9/1/2016	
3822		Findings of Fact			Invoice Mailed Payment Rec'd	7/3/2017 7/13/2017	
		Conclusion of Law & order Stipulation and Order			Conference by phone	9/6/2017	
3823	8/31/16	\$17,500	No		S&O and Invoice mailed	11/27/2017	
3020	5,51,10	12 Mos Probation	140		23.3 and invoice mailed	. 1/2//2017	
		\$1,500			Sent to AGs for Drafting	9/6/2016	
3823	9/6/16	Stip and Order	No		Invoice mailed	10/17/2016	
		-			Second Req for pmt	12/1/2016	

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
		\$1,500			Sent to AGs for Drafting	9/6/2016	
3824	9/6/16	Stip and Order	No		Invoice mailed	10/17/2016	
					Payment Rec'd	12/15/2016	12/15/2016
		\$63,995			Sent to AGs for Drafting	12/19/2016	,
3845	12/19/16	Stip and Order	No		Invoice Mailed	10/17/2016	
		-			Payment Rec'd	3/23/2017	3/23/2017
		\$3,000			Sent to AGs for Drafting	3/14/2017	
3871	3/14/17	Stip and Order	No		Invoice Mailed	4/3/2017	
		·			Payment Rec'd	5/8/2017	5/8/2017
		\$2,000			Sent to AGs for Drafting	3/1/2017	
3866	3/1/17	Stip and Order	No		Invoive Mailed	4/3/2017	
		·			Payment Rec'd	4/26/2017	4/26/2017
		\$1,000	No		Sent to AG's for Drafting	3/16/2017	
3872	3/16/17	Stip and Order			Invoice Mailed	5/8/2017	
00.2	σ, ι σ, ι ι	Sup and Stasi			Payment Rec'd	5/15/2017	5/15/2017
		\$1,500			Sent to AG's for Drafting	4/15/2017	0, 10, 20 11
3878	4/10/17	Stip and Order	No		Invoice Mailed	5/8/2017	
0070	4/10/17	Oup and Order			Payment Rec'd	5/15/2017	5/15/2017
		VOIDED			Informal Mailed	6/9/2017	0/10/2017
3887	6/1/17	VOIDED	Yes	2016	Voided	0/3/2017	
3007	0/1/17		162		Volueu		
		VOIDED			Informal Mailed	0/0/2047	
3891	0/4/47	VOIDED	Nia		Informal Mailed Voided	6/9/2017	
3891	6/1/17		No		voided		
		1.6				0/0/0047	
0000	0/4/47	Informal Order			Informal Mailed	6/9/2017	
3893	6/1/17	\$1,000	No		Paid Invoice	6/22/2017	0/00/0047
							6/22/2017
		Informal Order \$1,000			Informal Mailed	6/9/2017	
3896	6/1/17		No		Paid Invoice		
							7/10/2017
	6/1/17	VOIDED No			Informal Mailed	6/9/2017	
3897			No		Voided		
	6/1/17	VOIDED	No		Informal Mailed	6/9/2017	
3904					Voided		
	6/1/17	Informal Order \$1,000	No		Informal Mailed	6/9/2017	
3906						6/21/2017	
							6/21/2017
	6/1/17	VOIDED /1/17	No		Informal Mailed	6/9/2017	
3907					Voided		
		Informal Order			Informal Mailed	6/9/2017	
3912	6/1/17	\$1,000	No		Invoice Paid	7/10/2017	
			-				7/10/2017
	6/1/17	6/1/17 Informal Order \$1,000	No		Informal Mailed	6/9/2017	
3888					Invoice Paid	6/15/2017	
						5, 10, 25 11	6/15/2017
	6/1/17	Informal Order 5/1/17 \$1,000	No		Informal Mailed	6/9/2017	
3900					Invoice Paid	6/15/2017	
						5,10,2017	6/15/2017
	6/1/17	Informal Order 6/1/17 \$1,000	No		Informal Mailed	6/9/2017	
3898					Invoice Paid	6/26/2017	
3030					TITVOICE I AIG	0/20/2017	6/26/2017
<u> </u>	6/1/17	6/1/17 Informal Order \$1,000	No		Informal Mailed	6/9/2017	
3889					Invoice Paid	6/12/2017	
3 889					TITYOICE F AIU	0/12/2017	6/12/2017
		Informal Order		<u> </u>	Informal Mailed	6/0/0047	
2070	6/1/17	Informal Order /1/17 \$1,000	No		Informal Mailed	6/9/2017	
3872					Invoice Paid	5/15/2017	E/4E/0047
					<u> </u>		5/15/2017

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense	Action taken	Action Date	Closed Date
3913	6/1/17	Public Letter of Admonition	Yes		Letter Mailed	6/5/2017	
							6/13/2017
3946	10/19/17	Stipulation and Order \$2,000	Yes		Letter Mailed	6/5/2017	
					Invoice Paid	12/18/2017	
							12/18/2017
	1/29/18	Stipulation and Order 1/29/18 \$1,500			Sent to Ags for S&O	1/31/2018	
3960							
3961	1/29/18	Stipultaion and Order \$1,500			Sent to Ags for S&O	1/31/2018	